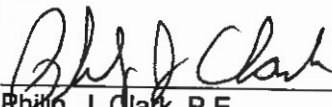


To: MODIFIED MODIFIED BY BY EB 11-010 EI 10-021 EFFECTIVE EFFECTIVE <u>4/6/11</u> 9/1/11			New York State Department of Transportation ENGINEERING INSTRUCTION	EI 05-032
Title: HIGHWAY DESIGN MANUAL CHAPTER 11 SIGNS, SIGNALS, AND DELINEATION; CHAPTER 21, FINAL PLANS, SPECIFICATIONS, AND ESTIMATE SUBMISSION				
Distribution: ☐ Manufacturers (18) ☒ Local Govt. (31) ☒ Agencies (32)		☐ Surveyors (33) ☒ Consultants (34) ☒ Contractors (39) ☐ _____ ()		
		Approved:  Philip J. Clark, P.E. Deputy Chief Engineer, Design		<u>09/09/05</u> Date

ADMINISTRATIVE INFORMATION:

- **Effective Date.** This Engineering Instruction (EI) is effective upon signature.
- **Superseded Issuances.** This EI does not supersede any EIs or Engineering Bulletins.
- **Disposition of Issued Material.** The guidance transmitted in this EI will be incorporated into a future revision of Chapters 11 and 21 of the Highway Design Manual (HDM).

PURPOSE: The purpose of this EI is to issue updated guidance regarding final plans, specifications, and estimate submission.

TECHNICAL INFORMATION: The following modifies the guidance in Chapter 11 Section 11.3.3.8, and Chapter 21 Section 21.9.3 as follows:

- Section 11.3.3.8. The ten-step procedure is eliminated along with form TE 200; the first and last sentence in this section remain in effect. In addition, the state will continue to provide traffic signal equipment consistent with current practice.
- Section 21.9.3.2 C, item 2, Form TE 200c (2/99) Traffic Signal Equipment Furnished By New York State Department of Transportation Equipment Summary. Item 2 in this section is deleted in its entirety as the form is being discontinued and will no longer to be part of the PS&E submission.
- Section 21.9.3.2 C, item 3, Table 21-4. The guidance in this section remains in effect, except the following single operation/activity type work and contract types no longer have goals (i.e., the goals are zero regardless of funding) – new guide rail installation, guide rail rehabilitation, bridge washing, bridge painting, graffiti removal, clean and seal cracks, herbicide, stormwater facilities maintenance, mowing, tree trimming/removal, sign structures, geo tech soil boring, pavement striping, durable pavement marking, job order contracts, emergency standby contracts.

BACKGROUND:

- Due to an administrative change, the ten-step procedure is eliminated along with form TE 200.
- Based upon historic patterns of utilization, feedback from the Disadvantaged/Minority/Women's Business Enterprise (D/M/WBE) community, and the nature of the contracts and work, the work and contract types listed above will no longer have goals. The work types are single operation; the contract types are not specific and are unpredictable. Therefore, they are not conducive to subcontracting commitments. Despite historically waiving goals or awarding contracts based on good-faith efforts, there have been successful D/M/WBE low bidders and voluntary participation, making these suitable for Race Neutral goal classification.

CONTACT: Direct questions regarding this EI to Norm Schips of the Design Quality Assurance Bureau at (518) 485-8611 (e-mail nschips@dot.state.ny.us).