
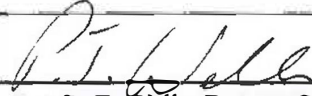


TO: SUPERSEDED BY EB 96-027 EFFECTIVE 6/18/96		<h1 style="text-align: center;">ENGINEERING INSTRUCTION</h1> <p style="text-align: center;">NEW YORK STATE DEPARTMENT OF TRANSPORTATION</p>
Distribution: 30 Main Office 32 Regions 34 Consultants		<p style="text-align: center;">FINAL INSPECTION OF FEDERAL-AID PROJECTS CERTIFICATION ACCEPTANCE & 23 USC 106(b)(2)</p> <p style="text-align: center; color: red;"> NYSDOT RECEIVED AUG 11 1994 UTILITIES SECTION </p>
APPROVED: _____ <div style="text-align: center;">  P. T. Wells, Deputy Chief Engineer Construction Division </div>		Subject Code: 7.30 Code: EI 94-029 Date: August 04, 1994 Supersedes:

Attached is a copy of Form NYS 1446 CA/SFA titled FINAL INSPECTION OF FEDERAL-AID PROJECT CONSTRUCTED UNDER CERTIFICATION ACCEPTANCE & 23 USC, SECTION 106 (b) (2). Effective immediately, this form is to be used to document the final inspection of all federal projects except those administered under Non-Certification Acceptance (NCA) procedures.

Certification Acceptance (CA) Projects. Per the attached memo from H. J. Brown dated May 5, 1994, FHWA has delegated responsibility for performing final inspections on CA projects to the Department. FHWA will complete a Federal Form FHWA 1446C only for those projects on which they have already performed an inspection.

23 USC Section 106(b)(2) Projects. The new form replaces Combined Road Plan inspection Form NYSDOT 1446 CRP, which was used on an interim basis for these projects.* Included are projects on the National Highway System (NHS) with an estimated cost less than \$1 million plus all projects off the NHS regardless of cost.

Final project inspections are to be performed by the Regional Director or Regional Construction Engineer. After completing the entries under Items 1 through 12, the Region forwards Form NYS 1446 CA/SFA to the Construction Division as part of the final agreement and estimate package.

The federal project inspection encompasses not only the construction contract, but all related municipal, utility and rail agreements and the removal of clear zone and railing deflection obstructions. Any work which is incomplete at the time the inspection form is submitted must be noted under 12. REMARKS.

**The Combined Road Plan demonstration program no longer exists, having been superseded by the 1991 ISTEA provisions incorporated into 23 USC 106(b)(2). The term 23 USC 106(b)(2) or SFA (Simplified Federal Aid) will replace CRP in future Construction Division issuances. Note that SFA has already begun to appear in the FED AID PROJ field of the monthly CONTRACT STATUS REPORT.*



U.S. Department
of Transportation
Federal Highway
Administration

Memorandum

Subject: Construction Monitoring and
Final Inspection

Date: May 5, 1994

From: Harold J. Brown
Division Administrator
Albany, New York

Reply to
Attn. of: HEC-NY

To: Director, Construction Division
New York State Department of Transportation
Albany, New York

MAY 17 1994

The Federal Highway Administration (FHWA) New York Division Office, as part of developing the Stewardship Plan for 1994, has modified the construction monitoring of federal-aid construction projects. Under the Intermodal Surface Transportation and Efficiency Act of 1991, a final inspection by FHWA is no longer required under 23 United States Code (U.S.C.).

Therefore, effective the date of this memorandum, the Department will be responsible for the final inspection of federal-aid construction projects progressed under the 1979 Certification Acceptance (CA) agreement. This inspection of CA projects would be of the same scope and documentation as the Department's inspection and acceptance of those federal-aid projects on the National Highway System (NHS) that are progressed under 23 U.S.C., Section 106(b).

We will monitor federal-aid construction projects on the NHS through a Product Evaluation/Process Review (PE/PR) system, with routine project level inspection only on projects progressed under non-CA (i.e., regular project level reviews and approvals) procedures. Routine inspections will continue to include initial, intermediate, final and inspections-in-depth/phase inspections. As part of the routine inspection process, the following schedule will be completed on all NCA projects:

Type of Project	Construction Duration	Inspection Frequency	Scope of Inspection
3R	8 months or less	Initial, intermediate, and final	One major phase of work
3R	More than 8 months	Initial and intermediate inspections each season and a final inspection upon completion	One major phase of work each construction season
Reconstruction or new location		An initial inspection and two intermediate inspections each season and a final inspection upon completion	One major phase of work each construction season

If a project is selected for a PE/PR review, that review could be used as a substitute for a major phase of work review on the project. It should be noted that these are minimum goals. Each Area Engineer will develop their own plan for carrying out construction stewardship activities, based on their individual assessment of risk.

We are preparing our PE/PR goals for the 1994 construction season and will be contacting you again shortly to discuss achieving them with the Department's assistance.

If you have any questions or suggestions regarding this 1994 construction monitoring plan, please call us.

**FINAL INSPECTION OF FEDERAL-AID PROJECT
CONSTRUCTED UNDER CERTIFICATION ACCEPTANCE OR
23 USC, SECTION 106 (b) (2)**

1. FED AID PROJECT NO.	2. CONTRACT NO.	3. COUNTY	4. STATE
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5. DESCRIPTION OF IMPROVEMENT AS PROGRAMMED

6. CONTRACTOR'S NAME	7. CONTRACT AMT.
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8. NYSDOT INSPECTION MADE BY	9. INSPECTION DATE
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10. IN COMPANY WITH

11. NOTICE OF FINAL INSPECTION. THE ABOVE LISTED PROJECT HAS BEEN COMPLETED IN REASONABLE CLOSE CONFORMITY WITH THE APPROVED PLANS AND SPECIFICATIONS INCLUDING AUTHORIZED CHANGES AND EXTRA WORK.

SIGNATURE: _____

TITLE: _____

12. REMARKS:

13. FINAL INSPECTION ACCEPTED

SIGNATURE _____

TITLE _____ DATE _____